

## Annex V: Social Assessment and IPP/IPPF for Indigenous Peoples

Projects that trigger the Indigenous Peoples Standard require a dedicated assessment of the socio-cultural context of the groups and need to ensure that meaningful and effective consultation are carried out with legitimate indigenous peoples representatives. This is to ensure their engagement in the design of activities relevant to them and to identify potential negative impacts. This Annex provides an overview/template for the assessment and the development of associated mitigation/management measures that may be required for a project that triggers the Indigenous People's standard. It incorporates elements of social impact assessment (risk identification and assessment) and of management planning / frameworks, e.g. Indigenous Peoples Plan (IPP) or Indigenous Peoples Planning Framework (IPPF). They are preferably presented in a combined document following the outline of this template, but in certain circumstances the IUCN reviewer may also require an IPP / IPPF and a separate Social Impact Assessment.

Please note that the difference between an IPP and IPPF is outlined under section 3 of this Annex; and that the ESMS Guidance Notes on Social Impact Assessment (SIA)<sup>1</sup>, and on Indigenous Peoples Plans<sup>2</sup> provide complimentary guidance.

### 1. Description of the Project:

- Executing entities of the project (e.g. main project lead as well as project partners) and their respective roles in the project (implementation arrangements)
- Geographic location and project site and of settlements of indigenous peoples (including maps); specify whether some of the indigenous groups are living in voluntary isolation
- Project objective(s), expected results/outcomes, outputs and main project activities (including proposed schedule for implementation)
- Risk category as per ESMS Screening

### 2. **Indigenous People affected:** This section will describe the Indigenous People in the project area. Sufficient details should be provided on the following:

- Key characteristics that qualify the identified groups as indigenous
- Relevant national legislation;
- System of livelihood (food, medicine, artefacts) and customary land and resource management regimes;

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<sup>1</sup> Available at: [https://www.iucn.org/sites/dev/files/iucn\\_esms\\_sia\\_guidance\\_note.pdf](https://www.iucn.org/sites/dev/files/iucn_esms_sia_guidance_note.pdf)

<sup>2</sup> Available at: [https://www.iucn.org/sites/dev/files/iucn\\_esms\\_ipp\\_guidance\\_note.pdf](https://www.iucn.org/sites/dev/files/iucn_esms_ipp_guidance_note.pdf)

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- Customary cultural, economic, social, or political institutions social organisation and institutions including identification of rules and channels of communication that should be used for project consultation processes;
  - Sites and resources of cultural and spiritual significance for these groups (in relation to the project area);
3. **Participatory preparation:** This section will describe the participation of affected communities during the project design process (i.e. prior to submission of the full proposal) and during the assessment of impacts, and explain how Free, Prior and Informed Consent was obtained / will be obtained during project implementation. The latter reflects a situation where project and/or activities that might affect indigenous peoples are not defined during project preparation to a level of detail that enables the establishment of a meaningful IPP – hence requiring the development of an Indigenous Peoples Planning Framework (IPPF). The IPPF specifies the timing for completion of further assessments/studies and specific plans and includes a clear statement of roles and responsibilities, budget, and commitment for funding. The IPPF should be presented for project approval consideration and address as many aspects of the IPP as possible. It also needs to state clearly when and how the full IPP will be developed. As with the IPP, the IPPF needs to be developed, as much as possible, with meaningful consultation and participation of the indigenous peoples concerned (to the degree that they are known) and outline steps for ongoing consultation and any required FPIC processes.
  4. **Potential impacts:** This section will assess expected project impacts (both positive and negative) on Indigenous People.
  5. **Mitigation strategies:** This section will outline measures to avoid adverse impacts and provide culturally appropriate benefits.
  6. **Monitoring and evaluation:** This section will explain how compliance with the safeguard requirements on Indigenous Peoples will be monitored, and reported to the Fund. Monitoring and evaluation methodologies should be adapted to the local context, indicators, and capacity.
  7. **Budget** This section will summarise dedicated costs related to compliance with the safeguard requirements on Indigenous Peoples. These costs should be incorporated into the budget of the grant and/or covered by co-financing