

## Kiwa-Local Projects Component: 2<sup>nd</sup> Call for Concept Notes





Virtual Capacity Building Session

**25. September.2023** 



### **Outline**

**Kiwa Investment Priorities Eligibility Criteria & Grant Process Concept Note Template Capacity Building ACP's & OCTs** 

# Grant Call for Kiwa Initiative Local Projects

The Kiwa Initiative Local Projects Component will open for

submissions of concept notes on **September 20**th, **2023** for Very

Small, Small and Medium Grants. Submission deadlines are

November 12th 2023, 23:59 UTC+12:00 Fiji



### Kiwa Investment Priorities

We support projects that....

### **Employ Nature-based Solutions (NbS)**



to achieve climate change adaptation benefits that are rights-based, gendersensitive and socially inclusive.

### Integrate Climate Change Adaptation into

- Conservation of Biodiversity and Ecosystem Services
- Sustainable use of biodiversity and ecosystem services
- Enabling tools for conservation and sustainable use of biodiversity and ecosystem services

#### What is Nature-based Solution?

Nature-based Solution (NbS) were defined by IUCN in 2016, as "actions to protect, sustainably manage, and restore natural or modified ecosystems, that address societal challenges effectively and adaptively, simultaneously providing human well-being and biodiversity benefits".



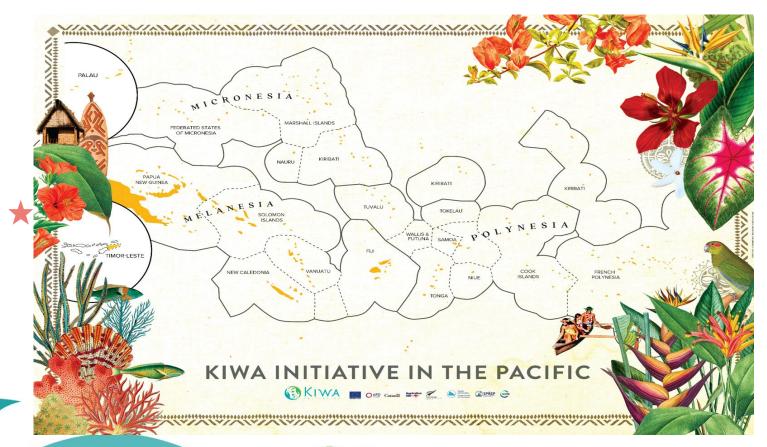
### Criteria

- Implemented in one or more of the Kiwa target PICTs
- Align with the Kiwa Initiative's investment priorities
- Apply NbS to climate change adaptation, including through biodiversity conservation, sustainable development, and sustainable use of ecosystem services.
- Deliver more than purely research-based outcomes
- Comply with the Kiwa-LPC ESMS standards and principals
- Include objectives and activities that are realistic, achievable and cost efficient within the proposed project term and budget.
- Be in accordance with Anti-Money Laundering /Combating the Financing of Terrorism (AML-CFT) requirements



#### **Eligible Countries**

Federated States of Micronesia, Fiji, French Polynesia, Kiribati, Nauru, New Caledonia, Niue, Palau, Papua New Guinea, Republic of Marshall Islands, Samoa, Cook Islands, Solomon Islands, Timor Leste, Tokelau, Tonga, Tuvalu, Vanuatu, Wallis and Futuna





### Eligible Entities Criteria

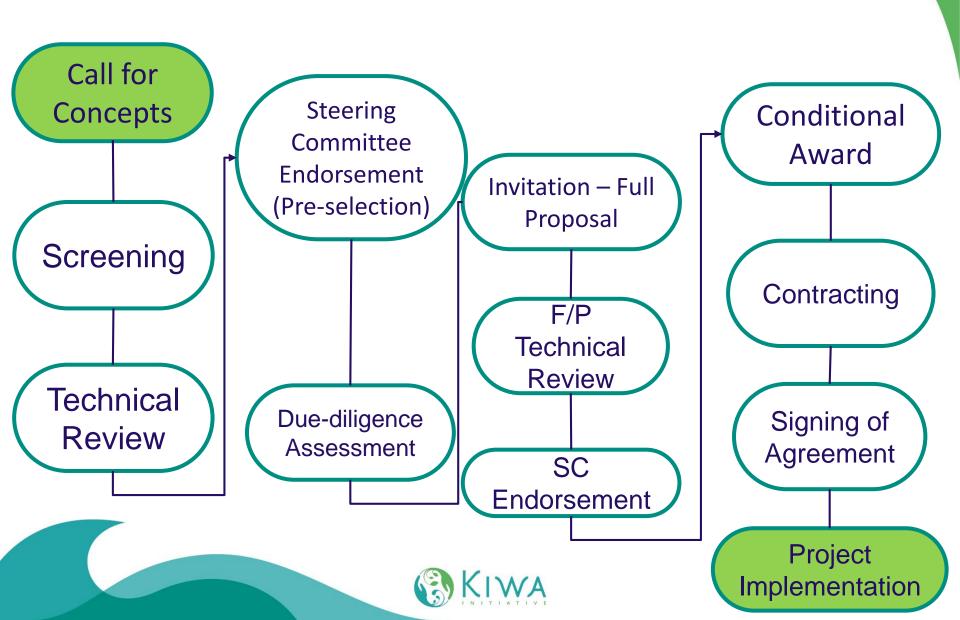
Туре	Criteria	Notes
All	Grantee (Applicant) must be a legal entity, registered in one of the 19 countries/territories eligible to the Kiwa Initiative.	Grants cannot be awarded to private individuals or for-profit companies.  Academic/research institutions are eligible
All	Grantee (Applicant) must not be an IUCN entity	Such as global IUCN programmes or national/regional offices.
All	Lead Applicant must hold a bank account in the name of the organisation.	Funds cannot be transferred to personal bank accounts.
All	Lead Applicant must be directly responsible for implementing the funded project	Applications cannot be made on behalf of a third party
All	Grantee currently funded by the EU can apply to the call for local projects of the Kiwa Initiative.	An already allocated EU grant cannot be considered as co-funding to a local project financed by the Kiwa Initiative.
International NGOs	Projects must be endorsed by a relevant government authority in the country of implementation  Projects must be implemented in partnership with a local organisation (CSO or public authority)	Endorsement must be in writing (letter or email), and by relevant government department.  Local partners must be directly involved in implementing project activities.  Partnership may include sub-granting.  Applications from international NGOs without local partners will only be considered if the international NGO provides a clear justification (such as absence of appropriate local partner).
Public institutions / authorities	19 eligible Pacific countries and territories as mentioned under the geographic scope	
Co-applicants	Co-applicants are subject to the same eligibility criteria as the lead applicant	Co-applicants must participate in project design and implementation

### Examples

Theme	Examples
	Eradication/control of invasive species
	Coastal and marine ecosystem and natural resources management
	Efforts to improve the conservation status of threatened species and their habitats
	Vegetation/habitat mapping relevant to protection or restoration of critical habitat
	Establishment/extension of Protected Areas (PAs) and corridors
Conservation of	Development of marine spatial planning
biodiversity and ecosystem services	<ul> <li>Increasing PA management effectiveness (through training, management planning, habitat restoration, invasive species management, boundary demarcation, fire management, etc.)</li> </ul>
	<ul> <li>Establishment of new financing mechanisms for species and habitat conservation (Payments for Ecosystem Services (PES), biodiversity offsets, etc.)</li> </ul>
	<ul> <li>Analyses to better understand/quantify threats to biodiversity (including socioeconomic studies)</li> </ul>
	<ul> <li>Actions to reduce detrimental edge effects and to protect core refugia for species populations</li> </ul>
	Actions to maintain hydrographic integrity and contiguity in freshwater ecosystems
Sustainable use of biodiversity and	Good agricultural and fisheries practices that promote species conservation
ecosystem services	<ul> <li>Development of sustainable economic valorisation of biodiversity, ecosystems and ecosystems services (such as through ecotourism)</li> </ul>
	Public awareness, education campaigns, training and capacity-building
Enabling tools	Support to local stakeholders (local communities and authorities) to help protect/manage biodiversity with links to Community Conserved Areas (CCAs) ecosystems (ecosystem management, participatory monitoring, etc.)



#### **Granting Process**



#### Grant Schedule

Size	Budget Range	Duration	Closing dates	Invitations to full proposals
Very Small	€25,000 - €50,000	Up to 12 months	November 12 <sup>th</sup> 2023, 23:59 UTC+12:00 Fiji	December 2023
Small	€50,001 - €100,000	Up to 18 months	November 12 <sup>th</sup> 2023, 23:59 UTC+12:00 Fiji	December 2023
Medium	€100,001 - €400,000	Up to 23 months	November 12 <sup>th</sup> 2023, 23:59 UTC+12:00 Fiji	December 2023

- Applications received after the above deadlines will not be considered but can be submitted again for the next round of calls of proposals.
- Successful applicants will be invited to submit a full proposal, including due diligence processes. There
  will be seven weeks allotted for applicants to submit their Full Proposal.
- Applications must be submitted in English or French (for Pacific French Overseas Territories).
- All application documents must be submitted via email to: <u>submit.kiwainitiative@iucn.org</u>. Questions can be directed to <u>kiwainitiative@iucn.org</u>.
- Questions deadline for Very Small, Small and Medium Grants by <u>November 3<sup>rd</sup></u>



### How to Apply

This grant scheme will follow a two-step process.

Applicants will initially prepare and submit short

concept notes which will be reviewed and short-listed.

**Download Concept Note Template (EN)** 

**Download Concept Note Template (FR)** 



#### CONCEPT NOTE TEMPLATE

The Concept Note (CN) is the first step in the application process. If Concept Notes are approved, applicants will be asked to prepare a full project proposal for further evaluation. Please complete ALL sections of this form and attach the required documents with your application. Remember to follow the guidance and the strategy of the Kiwa Initiative – Local Projects Component described in the call for proposals. Concept notes must be submitted in English or French. You are welcome to provide additional supporting documents, such as maps or letters of support, as attached files with the submission of this CN. If you have any questions about your project concept or how to complete this CN form, please review the FAQs on the IUCN Kiwa website or contact the Kiwa Initiative Local Projects Component at Email: Kimainitiative@iucn.org by November 12th 2023, 23:59 UTC+12:00 Fiji Time for Very Small, Small Grants and Medium Grants.

#### Concept Note Submission

Please email the complete application packet to <a href="mailto:submit.kiwainitiative@iucn.org">submit.kiwainitiative@iucn.org</a>. The Concept Proposal Closing Dates for consideration will be:

Size	Budget range (€)	Duration	Closing Dates for Concept notes.	Invitations for Full Proposals
Very small	€25,000 – €50,000	Up to 12 months	November 12 <sup>th</sup> 2023, 23:59 UTC+12:00 Fiji	December 2023
Small	€50,001 – €100,000	Up to 20 months	November 12th 2023, 23:59 UTC+12:00 Fiji	December 2023
Medium	€100,001 – €400,000	Up to 23 months	November 12th 2023, 23:59 UTC+12:00 Fiji	December 2023

Please make ensure you fill the sections of the concept note. All application templates are complemented with instructions and examples (See Grant Call) in order to provide as much guidance as possible in their compilation.

#### 1. Applicant details

	Full legal name (and acronym):	
	Org type: (local / int'l NGO, university, gov't dept, etc.)	
	Office address (and mailing address, if different):	
	Website and social media (if any):	
Organisation	Is the organisation legally registered?	Yes / No
	Do you have audited report or other financial reports available	Yes/No If YES how many years If NO – What finance reports that are available
	What entity/government is the registration with?	
	Name:	
	Position:	
Contact person	Email address:	
	Telephone:	
	Other	



Please list below past projects that your organisation has managed (limited to the past 5 years), prioritising projects that are most relevant to the theme and/or budget of this call:

Project Title	Relevant goals and activities	Start Month/year	End Month/year	Main Donors	Project Budget (in EUR) *current exchange rates

#### 2. Project description

Project Title	
Country/territory of implementation:	
Project site (if relevant):	
Total budget Requested to be funded by Kiwa.  (excluding in-kind and co-finance)	Very small (€25,000 - €50,000)
(excluding ill-kind and co-illiance)	Small (€50,001 – €100,000)
	Medium (€100,001 – €400,000)
Intended project start date:	
Project duration:	
Geographic Area: Country(ies), state, district, sub region, basin, protected areas, corridor, etc. If possible, give an estimated size in hectares.	
Beneficiaries Please estimate total population of target beneficiaries and provide estimated breakdown by:  • how many households, • number of females and males • number of youth	



any other target beneficiaries

#### **Kiwa-LPC investment priorities**

 How will the project contribute to climate change adaptation and vulnerability reductions of final beneficiaries?

(Limited number of words: 150 maximum)

 How will your NbS project achieve net gain to biodiversity, ecosystem integrity or enhance ecosystem integrity and connectivity?

(Limited number of words: 150 maximum)

 How will your project address other societal challenges apart from climate change e.g health, poverty, water, food security?

(Limited number of words: 150 maximum)

#### 3. Concept Note

#### Problem statement:

Explain the main threats or vulnerabilities of the project area or target. Be sure to include climate change threats, impacts, risks and effects. Please provide justification of selection of project site or thematic area.

(Limited number of words: 200 maximum)

#### Project Goal

Explain the general long-term state/situation that you want to achieve. Your proposed project should contribute towards this goal, but is unlikely to achieve it on its own and contribution of the project to climate adaptation through nature-based solutions.

#### Objectives:

#### Deliverables

#### Activities

Explain the objectives of the project: overall objective (project goal - Medium or long term impact to which the project will contribute) and specific objectives (changes resulting from the project)

What deliverables/outputs will be generated as you work to achieve this objective? Please list at least

What activities will you implement to achieve this objective and produce associated deliverables



"The total number of objectives, and the number of activities per objective, will vary depending on the nature of the project. Please add or remove rows to		
this table, as appropriate.		
Partners Will the project be implemented in partner	ship such as sub-granting with another or	nonication?
will the project be implemented in partner	snip such as sub-granting with another or	ganisation?
Stakeholders		
Please list your project key stakeholders:		
Beneficiaries consultations		
	d by stakeholder consultation?	
	d by stakeholder consultation?	
Beneficiaries consultations  How has the project design been informed (Limited number of words: 200 maximum)	d by stakeholder consultation?	
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□High

Explain your mitigation measures:

Risk 2:

Likelihood Rate:

□Low

□Medium

□High

Explain your mitigation measures:

#### Sustainability/replicability

Will the impacts of the project continue to be felt after the project has been completed?

Can the project methodology be replicated or scaled-up by future work at the project site, or elsewhere?

(Limited number of words: 200 maximum)

#### 5. Budget

Funding type	Notes	Value
Funding request amount (A): The value of funds requested from Kiwa		€ XX,XXX
Counterpart funding (B): Funds already secured from other sources for this project	Describe the funding sources	€ XX,XXX
In-kind contributions (C): Funds/resources to be contributed by your organisation for this project (Optional)	Describe how this value has been calculated	€ XX,XXX
Total project budget (A+B+C):		€ XX,XXX

Category	Detail/Justification	Budget
		(€)
1.Human Resources -	Include a breakdown of costs for each staff member working on	€
Salaries/benefits:	the project.	XXX.XX
2.Travel	Include a summary of what travel is required for the project	€
	(airfare, taxi, bus, ferry, fuel, accommodation, per diem )	XXX.XX
3.Equipment and Supplies	Include specific project equipments costs that will be purchased	€
		XXX.XX
4.Office Costs	(please list relevant office cost and detail e.g rent and storage,	€
	Internet/electricity, consumables	XXX.XX
5.Other costs and services:	Other costs, not included in other categories. Please describe	€
		XXX.XX



5.1 Professional services:	For consultants, include daily rates and no. days to be worked. For other services, include a simple breakdown of costs.	€ XXX.XX
5.2 Expenditure verification - Audit Costs	The budget for the expenditure verification of the final financial report must be included in this line. This is only applicable for the Medium Grants only.	
5.3 Meetings Costs	Include a breakdown of costs by meeting/event required for the project. It must be supported by a detailed breakdown of the estimated costs.	€ XXX.XX
5.4 Communications and Visibility actions	Include specific communication materials and activities costs e.g translations, pamphlets	€ XXX.XX
6. Subtotal direct eligible costs of the Action (1-5)		€ XXX.XX
7. Management fee (maximum 10% of 6,	Maximum 10% of 8, subtotal of direct eligible costs	€ XXX.XX
8.Sub-grants:	management fees cannot be applied to sub-grants	€ XXX.XX
9. Total eligible costs of the Action (6+7+ 8) Total	€ XXXX.XX	

#### 6. Eligibility and environmental and social safeguards questionnaire

ESMS provides a systematic procedure that ensures that potential adverse environmental and social impacts are avoided or minimised as much as possible, while positive impacts are stimulated. Please fill out the questionnaire below.

Question	Response	Notes
Does the project involve purchasing land?	Yes / No	Kiwa funds cannot be used for purchasing land
Has gender equality aspects or objective(s) has been included within each activity	Yes/No	If yes please provide details
Is the project likely to generate adverse impacts on the environment? (including the loss/degradation of forests or other natural habitats)	Yes / No	If yes, provide details and explain how these impacts will be mitigated.
Is the project likely to negatively impact physical cultural property? (including those important to local communities and/or having archaeological, paleontological, historical, religious, or unique natural values)	Yes / No	Kiwa funds cannot be used to fund projects likely to negatively impact physical cultural property.
Does the project involve physically resettling people?	Yes / No	Kiwa funds cannot be used to physically resettle people.
Will the project introduce or strengthen restrictions on access to natural resources and/or associated revenues? (for example, by establishing a protected area)	Yes / No	If yes, provide details and explain how negative impacts on affected communities will be mitigated.
Will the project work in lands or territories traditionally owned, customarily used, or occupied by Indigenous peoples?	Yes / No	If yes, provide details including any adverse impacts foreseen and how these impacts will be mitigated.
Will the project involve the use of herbicides, pesticides, insecticides or any other poison for the removal of invasive species?	Yes / No	If yes, provide details including the name of the herbicide, pesticide, insecticide, or poison.





### Pacific ACP's









Weekly virtual sessions Sept 25 – Oct 19





Target Incountry workshops Oct 26 – Nov 9



### Pacific OCT's















Virtual workshop Oct 13



### Questions?











The Initiative is funded by:













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