



Request for Proposals (RfP)

Consultancy Services for the Identification of Alternate Value Chains and Innovative Solutions to Repurpose Plastic Waste in St. Kitts and Nevis and St. Vincent and the Grenadines for the Project “Closing the Caribbean Plastic Tap”

**International Union for Conservation of Nature and Natural Resources
Regional Office for Mexico, Central America and the Caribbean**

Country: St. Kitts and Nevis

Name of the Project: Closing the Caribbean Plastic Tap

Budget Line: PA04015.O1-DR04015.CS

AOP Code: 24-PT07

Interested Proposers are hereby invited to submit a technical and financial proposal for the aforementioned Consultancy Service. Please read the information and instructions carefully because non-compliance with the instructions may result in disqualification of your Proposal from this Procurement.

1. ABOUT IUCN

IUCN is a membership Union uniquely composed of both government and civil society organisations. It provides public, private and non-governmental organisations with the knowledge and tools that enable human progress, economic development and nature conservation to take place together.

Created in 1948, IUCN is now the world’s largest and most diverse environmental network, harnessing the knowledge, resources and reach of more than 1,400 Member organisations and some 18,000 experts. It is a leading provider of conservation data, assessments and analysis. Its broad membership enables IUCN to fill the role of incubator and trusted repository of best practices, tools and international standards.

IUCN provides a neutral space in which diverse stakeholders including governments, NGOs, scientists, businesses, local communities, indigenous peoples organisations and others can work together to forge and implement solutions to environmental challenges and achieve sustainable development.

Working with many partners and supporters, IUCN implements a large and diverse portfolio of conservation projects worldwide. Combining the latest science with the traditional knowledge of local communities, these projects work to reverse habitat loss, restore ecosystems and improve people’s well-being.

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<https://twitter.com/IUCN/>

2. ABOUT THE PROJECT

Closing the Caribbean Plastic Tap is an initiative that focuses on the problem of plastic waste leakage from island states. Over the last few years, the issue of plastic pollution and microplastics in marine environment has dominated national, regional, and international discourse as the negative impact of plastic waste on the environment has become more apparent. Over 12 million metric tons

of plastic waste enter the ocean worldwide every year. Throughout the years many efforts on minimising impacts of plastic waste have focused on tackling the sources on continental land, rivers, and coastal areas.

The purpose of this project is to demonstrate effective, quantifiable solutions to address recyclable and unrecyclable plastic waste and plastic leakage from Caribbean Small Island Developing States (SIDS). This is envisaged through building on the momentum already created from other initiatives within the Caribbean region. Closing the Caribbean Plastic Tap brings the fight against plastic pollution to the region as part of the IUCN's initiative to 'Close the Plastic Tap' around the globe.

The main objective of the project is to support reduction in plastic waste generation and leakage within the planned project timeframe of three years (2023-2026) in prioritised Caribbean SIDS.

The main project outcomes are:

1. Identified solutions for recyclable polymers in project countries implemented.
2. Non-recyclable polymer solutions implemented for project countries.
3. Advance policy/legislative actions for effective management of plastics and plastic waste at national and regional levels.
4. Improved knowledge of plastic footprint of each country.

The prioritised Caribbean SIDS in the Closing the Caribbean Plastic Tap project are:

- Antigua and Barbuda
- Grenada
- Saint Kitts and Nevis
- Saint Lucia and
- Saint Vincent and the Grenadines.

Under this project it is intended that stakeholders from government, private sector and civil society will come together to develop and implement demand responsive solutions to plastic waste throughout the islands. These solutions will include policy changes and improvements in business operations as well as stimulate behaviour change of the public. These priorities were emphasised by country government representatives in the initial project discussion and design meeting held in November 2021. The activities identified in this project also highlight the priorities agreed to by the five participating countries.

It is anticipated the project will support the reduction of waste generation on the islands through the utilisation and re-purposing of plastic waste into commercially viable products for sale. In this light, it is expected that project outcomes will lead to job opportunities and income generation activities for the population with special emphasis on women, youths, and community groups.

3. REQUIREMENTS

- 3.1. A detailed description of the services to be provided can be found in Annex 1 (Terms of Reference)

4. CONTACT DETAILS

- 4.1. During the course of this RfP, from its publication to the award of a contract, you may not contact or discuss this procurement with any IUCN employee or representative other than the following contact. You must address all correspondence and questions to the contact, including your proposal.

IUCN Contact: Procurement Office procurement.ormacc@iucn.org

5. PROCUREMENT TIMETABLE

5.1. This timetable is indicative and may be changed by IUCN at any time. If IUCN decides that changes to any of the deadlines are necessary, that will be informed.

DATE	ACTIVITY
12 September 2024	Publication of the Request for Proposals
23 September 2024	Deadline for submission of questions
30 September 2024	Deadline for submission of proposals to IUCN (" Submission Deadline ")
4 October 2024	Clarification and evaluation of proposals
18 October 2024	Planned date for contract award
23 October 2024	Expected contract start date

5.2. Send an email to the IUCN contact to register an email address to receive notifications or clarifications of this bidding process, bidders in turn will be able to confirm if they intend to submit a Proposal before the deadline indicated above.

5.3. IUCN reserves the right to issue responses to any question to all Bidders, unless the Bidder making the inquiry expressly requests, at the time of inquiry, that it be kept confidential. If IUCN considers the content of the question and/or the answer to be non-confidential, it will inform the Bidder, who will have the opportunity to withdraw the question.

5.4. At any time before the deadline for submitting Proposals, IUCN may amend the RFP and will send all Bidders who have notified their interest or, failing that, registered an email to receive notifications, and it will be disclosed in the media used. For this end.

5.5. If the amendment is substantial, IUCN may extend the deadline for submission of Proposals in order to allow Bidders a reasonable time to take the amendment into consideration in their proposals.

6. PRESENTATION OF THE PROPOSALS

6.1. The Bidder interested in submitting a Proposal must submit the following documents, in the order listed, placing:

A. ADMINISTRATIVE REQUIREMENTS

- Copy of identification document or passport (in case of being a foreigner)
- Copy of legal invoice for fees collection.
- Copy of resume
- Letter of interest signed, indicating that the Consultant have read, understood and accept the content of these Terms of Reference. (Annex 2)
- Signed Declaration of Undertaking (Annex 3a)
- Human Resources Questionnaire filled and signed (Annex 4)

FOR CONSULTANCY COMPANIES:

- Copy of Certification of Incorporation
- Copy of identification document of the Legal Representative

- c. Copy of legal invoice for fees collection.
- d. Copy of resumes of the Consulting Team
- e. Letter of interest signed, indicating that the Consultant have read, understood and accept the content of these Terms of Reference. (Annex 2)
- f. Signed Declaration of Undertaking signed by the Representative of the Consulting Company or, in the case of a Consortium or group of Consultants, signed by each member indicating that they have read and understood the content of the Declaration (Annex 3b)
- g. Human Resources Questionnaire filled and signed (Annex 4)

B. TECHNICAL PROPOSAL:

- i. The technical proposal must address each of the criteria listed below explicitly and separately, citing the reference number of the relevant criterion (Description column).

	Description	Information to be presented	Relative importance (%)
1	Detailed workplan and methodology to be implemented	Shall include a proposal of potential stakeholders to be included in the consultations, as well as quotations for the different materials to be produced	30%
	Qualifications	Shall include: - Strong research and analytical skills - Expertise in waste management and the circular economy	20%
4	Experience	Company Profile / CV / Responsible for the Consultancy. Shall include specific examples of similar deliverables developed during previous consultancies. - Experience in value chain analysis - Experience in developing and implementing innovative solutions for repurposing plastic waste	50%
TOTAL			100%

- i. IUCN will evaluate the technical proposals with respect to each of the criteria indicated in point i) and their relative importance.
- ii. Proposals in any other format will significantly increase the evaluation time and therefore such proposals may be rejected at the discretion of IUCN.
- iii. When resumes (CVs) are requested, these should be from the people who will perform the specified job. Persons submitted as part of the Proposal may only be replaced with the approval of IUCN.
- iv. In the event that a company or group of consultants applies, in addition to the above information, the following must be specified:
 - Responsible for consulting
 - Composition of the consulting team, specialty of each member.

- Role and responsibility in the activities/products of each member in accordance with the TOR

C. FINANCIAL PROPOSAL:

Signed by the Proposer, indicating the value of professional services in USD - in numbers and letters.

- i. It will be considered that the prices presented include all the costs of fees, insurance, taxes, obligations and risks that must be considered for compliance with the Terms of Reference. IUCN will not accept charges beyond those clearly indicated in the Financial Proposal and that are eligible for the execution of the Contract.
- ii. The Bidder will have to assume the payments corresponding to taxes according to regulations in force in its country; You will have to have health and life insurance up to date; and will assume the bank charges by transfer.
- iii. If local or international trips must be made for the execution of this Contract, the costs will be paid by IUCN through reimbursement and will be governed by the IUCN per diem scale for food and lodging.
- iv. Travel expenses related to the execution of this Contract will not exceed the total amount of USD4,900 broken down as follows:

Expense type	Maximum amount
Travel	3,595
Meals	340
Surface Transportation	265
Accommodation	700
Other expences (detailed)	NA

- v. For reimbursement of travel expenses, the Proposer must submit a financial report with original invoices/receipts (e.g., transportation, lodging, food and incidentals) to the IUCN Contact Person, in the currency of the Contract, so that the corresponding reimbursement can be processed.
- vi. Expenses related to vehicle maintenance, purchase of electronic equipment, cell phone expenses, consumption expenses or purchase of alcoholic beverages will be considered ineligible expenses.
- vii. Breakdown of the Financial Proposal.

For information purposes, it is recommended that the details of the financial proposal be broken down as follows:

	Description	Quantity	Unit price*	Total price*
1				
2				
3				

*USD currency

6.2. Additional information not requested by IUCN should not be included in the proposal and will not be subject to evaluation.

7. SENDING

7.1. The Proposal must be submitted by email to the IUCN Contact (see Section 2). The subject heading of the email shall be [RfP Reference – Proposer name]. The Proposer name is the name of the company/organisation on whose behalf the proposal is being submitted, or the surname of the Proposer in case is bidding as a self-employed consultant. The proposal must be submitted in PDF format. The Proposer may submit multiple emails suitably annotated, e.g., Email 1 of 3, if attached files are too large to suit a single email transmission. You may not submit your Proposal by uploading it to a file-sharing tool (e.g. Dropbox, Google Drive, etc)

IMPORTANT: Submitted documents must be password protected so that they cannot be opened and read before the submission deadline. Use the same password for all documents submitted. After the deadline and within 12 hours, send the password to the IUCN contact. This will ensure a secure process for submitting and opening bids. DO NOT e-mail the password before the deadline for submitting proposals.

8. ELIGIBILITY

8.1. Not applicable

9. VALIDITY

9.1. The proposal must remain valid and capable of acceptance by IUCN for a period of 60 calendar days following the submission deadline.

10. WITHDRAWALS AND CHANGES

10.1. Proposers may freely withdraw or change their proposal at any time prior to the submission deadline by written notice to the IUCN Contact. However, in order to reduce the risk of fraud, no changes or withdrawals will be accepted after the submission deadline.

11. EVALUATION OF PROPOSALS

11.1. Completeness

IUCN will firstly check your proposal for completeness. Incomplete proposals will not be considered further.

11.2. Technical Evaluation

IUCN will evaluate technical proposals with regards to each of the following criteria and their relative importance:

11.2.1. *Scoring Method*

Proposals will be assigned a score from 0 to 10 for each of the technical evaluation criteria, such that '0' is low and '10' is high. Proposals that receive a score of '0' for any of the criteria will not be considered further.

11.2.2. *Technical Score*

The score for each technical evaluation criterion will be multiplied with the respective relative weight and these weighted scores added together to give the proposal's overall technical score.

11.3. Financial Evaluation and Financial Scores

The financial evaluation will be based upon the full total price submitted. Financial proposals will receive a score calculated by dividing the lowest financial proposal that has passed the minimum quality thresholds by the total price of your financial proposal.

The proposals total score will be calculated as the weighted sum of the technical score and financial score.

The relative weights will be:

Technical:	70%
Financial:	30%

Subject to the requirements in Sections 5 and 7, IUCN will award the contract to the Proposer whose proposal achieves the highest total score.

12. EXPLANATION OF PROCUREMENT PROCEDURE

- 12.1. IUCN is using the Open Process for this procurement. This means that proposers are required to submit a proposal which fully complies with the instructions in this RfP and the attachments.
- 12.2. You are welcome to ask questions or seek clarification regarding this procurement. Please email the IUCN Contact (see Section 2), taking note of the deadline for submission of questions in Section 3.1. Late proposals will not be considered. All proposals received by the submission deadline will be evaluated by a team of three or more evaluators in accordance with the evaluation criteria stated in in this RfP. No other criteria will be used to evaluate proposals. The contract will be awarded to the Proposer whose proposal received the highest Total Score. IUCN does, however, reserve the right to cancel the procurement and not award a contract at all.
- 12.3. IUCN will contact all Proposers who submitted their proposal to inform them of the outcome of the evaluation. The timeline in Section 3.1 gives an estimate of when the contract award is expected to be completed, however this date may change depending on how long the evaluation of the proposals takes.

13. CONDITIONS FOR PARTICIPATION IN THIS PROCUREMENT

- 13.1. To participate in this procurement, the Proposers are required to submit a proposal, which fully complies with the instructions in this RfP and the Attachments.
 - 13.1.1. It is responsibility of each Proposer to ensure the submission of a complete and fully compliant proposal.
 - 13.1.2. Any incomplete or incorrectly completed proposal submission may be deemed non-compliant, and as a result will not be consider to proceed further in the procurement process.
 - 13.1.3. IUCN will query any obvious clerical errors in a proposal and may, at IUCN's sole discretion, allow a Proposer to correct these, but only if doing so could not be perceived as giving an unfair advantage.
- 13.2. In order to participate in this procurement, the Proposer must meet the following conditions:
 - Free of conflicts of interest
 - Registered on the relevant professional or trade register of the country in which is established (or resident, if self-employed)
 - In full compliance with its obligations relating to payment of social security contributions and of all applicable taxes
 - Not been convicted of failing to comply with environmental regulatory requirements or other legal requirements relating to sustainability and environmental protection
 - Not bankrupt or being wound up
 - Never been guilty of an offence concerning professional conduct
 - Not involved in fraud, corruption, a criminal organisation, money laundering, terrorism, or any other illegal activity.

13.3. Each Proposer shall submit only one proposal, either individually or as a partner in a joint venture. In case of joint venture, one company shall not be allowed to participate in two different joint ventures in the same procurement nor shall a company be allowed to submit a proposal both on its behalf and as part of a joint venture for the same procurement. A Proposer who submits or participates in more than one proposal (other than as a subcontractor or in cases of alternatives that have been permitted or requested) shall cause all the proposals with the Proposer's participation to be disqualified.

13.4. By taking part in this procurement, the Proposer accept the conditions set out in this RfP, including the following:

- It is unacceptable to give or offer any gift or consideration to an employee or other representative of IUCN as a reward or inducement in relation to the awarding of a contract. Such action will give IUCN the right to exclude you from this and any future procurements, and to terminate any contract that may have been signed with you.
- Any attempt to obtain information from an employee or other representative of IUCN concerning another bidder will result in disqualification.
- Any price fixing or collusion with other Proposers in relation to this procurement shall give IUCN the right to exclude you and any other involved bidder(s) from this and any future procurements and may constitute a criminal offence.

14. CONFIDENTIALITY AND DATA PROTECTION

14.1. IUCN follows the European Union's General Data Protection Regulation (GDPR). The information a Proposer submits to IUCN as part of this procurement will be treated as confidential and shared only as required to evaluate the proposal in line with the procedure explained in this RfP, and for the maintenance of a clear audit trail. For audit purposes, IUCN is required to retain the proposals in its entirety for 10 years after the end of the resulting contract and make this available to internal and external auditors and donors as and when requested.

14.2. In the Declaration of Undertaking (Attachment 3) the Proposer needs to give IUCN express permission to use the information submitted in this way, including personal data that forms part of the proposal. Where a Proposer include personal data of employees (e.g. CVs) in the proposal, the Proposer needs to have written permission from those individuals to share this information with IUCN, and for IUCN to use this information as indicated in 8.1. Without these permissions, IUCN will not be able to consider the proposal.

15. COMPLAINTS PROCEDURE

15.1. If a Proposer has a complaint or concern regarding the propriety of how a competitive process is or has been executed, then please contact sofiamariela.madrigal@iucn.org. Such complaints or concerns will be treated as confidential and are not considered in breach of the above restrictions on communication (Section 2.1).

16. CONTRACT

16.1. The contract will be based on IUCN's template which terms of which are not negotiable.

17. ANNEXES

- Annex 1** *Specification of Requirements / Terms of Reference*
- Annex 2** *Letter of Interest*
- Annex 3** *Declaration of Undertaking (select 2a for companies or 2b for self-employed)*
- Annex 4:** *Human Resources Questionnaire*

**ATTACHMENT 1
TERMS OF REFERENCE**

**The Regional Office for Mexico, Central America and the Caribbean of the
International Union for the Conservation of Nature**

(IUCN-ORMACC)

REQUIRES

**PROFESSIONAL CONSULTANCY SERVICES
FOR**

*Consultancy Services for the Identification of Alternate Value Chains and Innovative Solutions to
Repurpose Plastic Waste in St. Kitts and Nevis and St. Vincent and the Grenadines*

Type of Contract:	Professional Consultancy Services
Period:	5 months
Availability:	Immediate
Person Responsible for Supervision:	Vicia Woods, Regional Project Coordinator

1. BACKGROUND AND JUSTIFICATION

About IUCN

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2. OBJECTIVES OF THE CONSULTANCY

2.1. General: To identify and develop sustainable, innovative value chains that repurpose plastic waste, thereby reducing environmental impact, enhancing economic opportunities, and promoting a circular economy within St. Kitts and Nevis and St. Vincent and the Grenadines.

2.2. Specific:

- To identify waste reducing measures through the value chain
- To develop 2 proofs of concepts per country for commercially viable products made of recycled plastic prototypes
- To develop best practice guidelines for the value chains

In addition, the consultant will use the proof of concepts identified to develop three (3) prototypes for commercially viable products with IUCN support.

3. EXPECTED DELIVERABLES

No.	Deliverable	Description
1	Inception Report	The Inception Report including the workplan, methodology and the list of stakeholders to be engaged.
3	National Action Plan	Two (2) National Action Plans (one per country) for three (3) sectors (Tourism, Fisheries, Waste Management) and sector recommendations
4 5	Proof of concepts and prototypes	Four (4) proofs of concepts (2 per country) developed for alternative value chain. Per proof of concept, a fact sheet containing: a. Concept / product description i. Name, dimensions, weight, intended use & impact b. Illustration of concept / product c. Potential alternative value chain i. Volume, source, market, benefit flows ii. Cost of product / product development (plastic collection, transport, cleaning, transformation, specialized tools needed, etc.) iii. Best practice guidelines for alternate value chains

		d. Concept / product composition i. Types of plastic included, amount of plastics used, and amount of plastic waste diverted ii. Source of used plastic types Additionally, three (3) prototypes of products that could be built using locally recycled material. i. Cost-benefit analysis of alternate product value chain vs product value chain it is intended to replace ii. Sample of prototype products developed iii. Business Plan
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4. INTELLECTUAL PROPERTY

All Intellectual Property rights conceived or made by the Consultant / Consulting Firm in the course of providing the Services will belong to IUCN.

5. SCHEDULING AND TIMETABLE FOR DELIVERING PRODUCTS

5.1. Activities and programming

Objective	Activities	Month																			
		Oct				Nov				Dec				Jan				Feb			
To identify waste reducing measures through the value chain	Conduct a comprehensive analysis and mapping of the existing plastic waste value chain, from production to disposal.																				
	Identify and engage with key stakeholders to determine processes, and points of waste generation within the value chain and to gather insights on potential waste reduction measures.																				
	Assess current practices that lead to waste generation, such as inefficiencies in production, packaging, and end-of-life management.																				
	Identify technologies and methodologies that could be adapted or implemented in the local context.																				
	Prepare a comprehensive report summarizing the																				

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5.2. Timetable for delivery of products:

Products	Expected date of delivery	Percentage of pay
1) Inception Report	5 weeks after signing of the contract	20%
2) National Action Plan	10 weeks after signing of the contract	40%
3) Proof of concepts and three Prototypes of products	16 weeks after signing of the contract	40%

- All products will be paid once delivered to IUCN's complete satisfaction.
- Disbursements will depend on the availability of funds from the donor.
- The consultant / consulting firm must consider in the technical proposal the modification of some deliverables during the execution of the contract, if it is necessary, to adapt some results, without affecting the amount of the original contract.

6. TECHNICAL PROFILE

For the development of this consultancy, the following profile is required:

- A minimum of five years of professional experience in value chain analysis, particularly in waste management and recycling sectors.
- Ability to work effectively with diverse teams and build partnerships with local communities, governments, and private sector stakeholders
- Experience in developing and implementing innovative solutions for repurposing waste materials, particularly plastics.
- Familiarity with existing technologies and processes for plastic recycling and waste repurposing.
- In-depth knowledge of circular economy principles and sustainable waste management practices
- Expertise in identifying and analyzing alternative value chains for waste materials, with a focus on plastics.
- Strong ability to conduct comprehensive research, including stakeholder analysis, market analysis, and technology assessments and analyze data into clear, actionable recommendations.
- All the hardware and software required to complete the assignments.
- Fluent ability to communicate in English.
- Flexibility and ability to work to tight deadlines.
- Attention to details.

7. PERIOD AND COORDINATION

The period of the consultancy will be 5 months.

Form of work (with Unit/Project): In order to achieve the deliverables, the consultant(s) will closely coordinate this process with the communication unit from the Ministry of Sustainable Development, Energy, Science and Technology of Saint Lucia, as well as the IUCN-ORMACC Regional Specialist for Strategic Communication.

ANNEX 2: LETTER OF INTEREST

Fill in the information in blue

[Place and date]

TO: [IUCN]

The undersigned, [name of the professional], after having examined the Terms of Reference for the Contracting of the Professional Consulting Services for (name of the consultancy) and offers to perform these services in accordance with the call for date _____.

The attached Financial Proposal is for the total sum of [amount in letters and figures], which includes all taxes required by law.

The period of time in which the signatory of this document agrees to provide the services is from the date of signing the contract, until the date of termination thereof, without price variation, unless modifications are made resulting from contract negotiations.

The undersigned declares that all the information and statements made in the submitted proposals are true and that any misinterpretation contained in them may lead to disqualification.

Cordially,

Signature _____

Full name of the proposer or legal representative _____

ATTACHMENT 3a – SELF-EMPLOYED PROPOSER

DECLARATION in relation to RfP < Consultancy Service >

I, the undersigned, hereby confirm that I am self-employed and able to provide the service independent of any organisation or other legal entity.

Full name (as in passport):

Home or Office (please delete as appropriate) Address (incl. country):

I hereby authorise IUCN to store and use the information included in the attached Proposal for the purpose of evaluating Proposals and selecting the Proposal IUCN deems the most favourable. I acknowledge that IUCN is required to retain my Proposal in its entirety for 10 years after then end of the resulting contract and make this available to internal and external auditors and donors as and when requested.

I further confirm that the following statements are correct:

1. I am legally registered as self-employed in accordance with all applicable laws.
2. I am fully compliant with all my tax and social security obligations.
3. I am free of any real or perceived conflicts of interest with regards to IUCN and its Mission.
4. I agree to declare to IUCN any real or perceived emerging conflicts of interests I may have concerning IUCN. I acknowledge that IUCN may terminate any contracts with me that would, in IUCN sole discretion, be negatively affected by such conflicts of interests.
5. I have never been convicted of grave professional misconduct or any other offence concerning my professional conduct.
6. I have never been convicted of fraud, corruption, money laundering, supporting terrorism or involvement in a criminal organisation.
7. I acknowledge that engagement in fraud, corruption, money laundering, supporting terrorism or involvement in a criminal organisation will entitle IUCN to terminate any and all contracts with me with immediate effect.
8. I am not included in the UN Security Council Sanctions List, EU Sanctions Map, US Office of Foreign Assets Control Sanctions List, or the World Bank listing of ineligible firms and individuals. I agree that I will not provide direct or indirect support to firms and individuals included in these lists.
9. I have not been, am not, and will not be involved or implicated in any violations of Indigenous Peoples' rights, or injustice or abuse of human rights related to other groups or individuals, including forced evictions, violation of fundamental rights of workers as defined by the International Labour Organization's (ILO) Declaration on the Fundamental Principles and Rights at Work, child labour, sexual exploitation, sexual abuse, or sexual harassment.

<Date and Signature>

ATTACHMENT 3b - For Consulting Companies

DECLARATION in relation to RfP <name of the Consultancy Service>

I, the undersigned, hereby confirm that I am an authorised representative of the following organisation:

Registered Name of Organisation (the "Organisation"): _____

Registered Address (incl. country): _____

Year of Registration: _____

I hereby authorise IUCN to store and use the information included in the attached Proposal for the purpose of evaluating Proposals and selecting the Proposal IUCN deems the most favourable. I acknowledge that IUCN is required to retain the Proposal in its entirety for 10 years after the end of the resulting contract and make this available to internal and external auditors and donors as and when requested.

Where the Proposal includes Personal Data as defined by the European Union's General Data Protection Regulation (GDPR), I confirm that the Organisation has been authorised by each Data Subject to share this Data with IUCN for the purposes stated above.

I further confirm that the following statements are correct:

1. The Organisation is duly registered in accordance with all applicable laws.
2. The Organisation is fully compliant with all its tax and social security obligations.
3. The Organisation and its staff and representatives are free of any real or perceived conflicts of interest with regards to IUCN and its Mission.
4. The Organisation agrees to declare to IUCN any real or perceived emerging conflicts of interests it or any of its staff and representatives may have concerning IUCN. The Organisation acknowledges that IUCN may terminate any contracts with the Organisation that would, in IUCN sole discretion, be negatively affected by such conflicts of interests.
5. None of the Organisation's staff has ever been convicted of grave professional misconduct or any other offence concerning their professional conduct.
6. Neither the Organisation nor any of its staff and representatives have ever been convicted of fraud, corruption, money laundering, supporting terrorism or involvement in a criminal organisation.
7. The Organisation acknowledges that engagement by itself or any of its staff in fraud, corruption, money laundering, supporting terrorism or involvement in a criminal organisation will entitle IUCN to terminate any and all contracts with the Organisation with immediate effect.
8. The Organisation is a going concern and is not bankrupt or being wound up, is not having its affairs administered by the courts, has not suspended business activities, is not the subject of proceedings concerning those matters, or in any analogous situation arising from a similar procedure provided for in national legislation or regulations.
9. The Organisation complies with all applicable environmental regulatory requirements or other legal requirements relating to sustainability and environmental protection.
10. The Organisation is not included in the UN Security Council Sanctions List, EU Sanctions Map, US Office of Foreign Assets Control Sanctions List, or the World Bank listing of ineligible firms and individuals. The Organisation agrees that it will not provide direct or indirect support to firms and individuals included in these lists.
11. The Organisation has not been, is not, and will not be involved or implicated in any violations of Indigenous Peoples' rights, or injustice or abuse of human rights related to other groups or individuals, including forced evictions, violation of fundamental rights of workers as defined by the International Labour Organization's (ILO) Declaration on the Fundamental Principles and Rights at Work, child labour, sexual exploitation, sexual abuse, or sexual harassment.

<Date and Signature of authorised representative of the Proposer>

< Name and position of authorised representative of the Proposer >

ATTACHMENT 4: HUMAN RESOURCES QUESTIONNAIRE

HR Questionnaire for Consultancy Contracts

Consultant / Company Name

Country of Residency

Required Checks

	Yes	No
Are payments linked to deliverables?	☐	☐
Does the consultant have official invoices?	☐	☐

Required documents

	Yes	No
Professional insurance/medical insurance policy statement, valid during the project's execution term	☐	☐
Civil responsibility policy: should include at least coverage for physical injuries and/or death of third persons, and coverage for damages to others' property	☐	☐

The Consultant understands that, in case of answering NO to any of the previous questions, it is his/her responsibility to assume any legal implication or cost that is derived from it to the detriment of IUCN.

Other Assignments

Name other organizations for which the consultant has previously worked for:

Previous assignments with the IUCN (please indicate the last three):

- 1.
- 2.
- 3.

Are you currently working on a consulting with IUCN? If so, please specify the name of the consultancy, the person responsible for the consultancy and the end date.

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For Self-Employed Consultants:

Is the Consultant part of one of IUCN Commissions?

Yes _____ No _____

If so, please indicate which of the following Commissions:

- ☐ World Commission on Protected Areas
- ☐ International Law Commission
- ☐ Species Survival Commission
- ☐ Commission on Ecosystem Management
- ☐ Commission on Education and Communication
- ☐ Commission on Environmental, Economic and Social Policy

For Consultant Companies:

Is the Company/Organization an IUCN Member?

Yes ☐ No ☐

Consultant Signature: _____

Date: _____